

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Name of the Insurer: DHFL General Insurance Limited

IRDAI Registration No. 155 dated May 22, 2017
CIN: U66000MH2016PLC283275

Statement as on: June 30, 2019

(₹ in Lakhs)

| No. | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|---|------------------------------|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A During the quarter¹ | | | | | | | | | |
| 1 | 8.90% DHFL BS 04-06-2021 1 | ODPG | 495.53 | 11/06/2018 | CARE | AAA | BBB- | 14/05/2019 | |
| 2 | 9.30% DHFL BS 14-08-2026 VI | ODPG | 505.94 | 23/06/2017 | CARE | AAA | BBB- | 14/05/2019 | |
| 3 | 8.90% DHFL BS 04-06-2021 1 | ODPG | 495.53 | 11/06/2018 | CARE | AAA | D | 05/06/2019 | |
| 4 | 9.30% DHFL BS 14-08-2026 VI | ODPG | 505.94 | 23/06/2017 | CARE | AAA | D | 05/06/2019 | |
| B As on Date² | | | | | | | | | |
| 1 | 7.85% ILFS Ltd BS 30-12-2022 | IODS | 499.80 | 30/10/2017 | CARE | AAA | D | 17/09/2018 | |
| 2 | ILFS Ltd CP 13-02-2019 | IODS | 996.00 | 13/03/2018 | ICRA | A1+ | D | 17/09/2018 | |
| 3 | 8.90% DHFL BS 04-06-2021 1 | ODPG | 495.53 | 11/06/2018 | CARE | AAA | D | 05/06/2019 | |
| 4 | 9.30% DHFL BS 14-08-2026 VI | ODPG | 505.94 | 23/06/2017 | CARE | AAA | D | 05/06/2019 | |

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04